Punjab Municipal Services Improvement project (PMSIP) P170811

Draft ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

March 2020

PMIDC/Department of Local Government Government of Punjab

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

- 1. The Government of Punjab(GoP) will implement the "Punjab Municipal Services Improvement project" (PMSIP)through Punjab Municipal Infrastructure Development Company (PMIDC), Amritsar Municipal Corporation (MCA) and Ludhiana Municipal Corporation (LMC)under the Department of Local Government. The World Bank will provide financing to GoP for the project.
- 2. GoP through the PMIDC, MCA and LMC will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
- 3. GoP through PMIDC, MCA and LMC will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as the Environmental and Social Management Framework (ESMF) Environmental and Social Impact Assessment (ESIA),Environmental and Social Management Plans (ESMP),Resettlement Policy Framework (RPF), Resettlement Action Plans (RAP), Stakeholder Engagement Plans (SEP), and Labour Management Procedures and the timelines specified in those E&S documents.
- 4. GoPis responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by PMIDC, MCA and LMC.
- 5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the World Bank by GoP (through PMIDC, MCA and LMC) as required by the ESCP and the conditions of the legal agreement, and the World Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
- 6. As agreed by the World Bank and GoP, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, GoP will agree to the changes with the World Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the World Bank and the GoP. The GoP will promptly disclose the updated ESCP.
- 7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the GoP shall provide additional funds (to PMIDC, MCA and LMC), if needed, to implement actions and measures to address such risks and impacts, which may include*environmental*, *health*, *and safety*, *labor influx*, *and gender-based violence related impacts* etc.

Environmental and Social Commitment Plan		TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
MATER	RIAL MEASURES AND ACTIONS		
MONI	ORING AND REPORTING		
A	REGULAR REPORTING Prepare and submit to the WB regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).	Submit Quarterly Progress reports to the Bank on an agreed format not later than 15 days after the end of each quarter. The reporting format will be agreed by First Implementation Support Mission	PMIDC-PMU and ESMU MCA and LMC PIU Project Management Consultant (PMC)
В	INCIDENTS AND ACCIDENTS Promptly notify the World Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including accidents that could result in fatalities, injuries, gender-based violence, etc. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the World Bank's request, prepare areport on the incident or accident and propose any measures to prevent its recurrence.	Any incident or accident shall be notified to the Bank within 24 hours after learning of the incident or accident. A report will be provided within a month after learning of the incident or accident, acceptable to the Bank, as requested.	PMIDC-PMU/ESMU MCA and LMC PIU PMC Contractors
С	CONTRACTORS MONTHLY REPORTS Prepare and submit monthly progress reports	First week of each month	Contractors and sub-contractors

Environmental and Social Commitment Plan		TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
MATER	RIAL MEASURES AND ACTIONS		
1.1	 ORGANIZATIONAL STRUCTURE Hire (1) Environmental Specialist, (1) Land Acquisition and Resettlement Specialist, (1) Social Development Specialist/Stakeholder Engagement Specialist, (1) Gender Specialist, (1) Health and Safety Specialist to support the PMU in implementing the ESCP. These personnel will form the 	Within 3 months from Project effectiveness	PMIDC
	 dedicated and permanent Environmental and Social Management Unit(ESMU) at PMIDC to implement all urban development projects in Punjab. Hire (1) Environmental Specialist, (1) Social Development, Resettlement/Stakeholder Engagement Specialist, (1) Health 	Within 3 months from Project effectiveness	PMIDC
	and Safety Specialist at each PIU to support the PIU in implementing the ESCP.These personnel will form the dedicated and permanent Environmental and Social Management Cell (ESMC) at each PIU to implement all development projects at the city corporation level.	Within 6 months from Project effectiveness	PMIDC
	 Engage a Project Management Consultant (PMC) with sufficient E&S staffing and engage RAP implementation consultant Contractors will be required to have an Environmental and Social Development Specialist and a Health & Safety 	During the tendering process but onboarding no later than 30 days after	Contractors
	Specialistand ensure to be on rolls throughout project period	contract signing.	

Environmental and Social Commitment Plan		TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
MATER	IAL MEASURES AND ACTIONS		
1.2	ENVIRONMENTAL AND SOCIAL ASSESSMENT		
	 Prepare and disclose the Environmental and Social Management Framework(ESMF) 	Prior to Project appraisal	PMIDC
	 Update and re-disclose the ESMF upon activation of the Contingent Emergency Response Component (CERC), including adding a positive list of eligible activities/ expenditures at the time of CERC activation. 	Within 90 days from CERC activation	
	 Prepare and disclose detailedESIAs for each city level water infrastructure comprising of Water Treatment Plants, Overhead Service Reservoirs and transmission lines) Conduct a Cumulative Impact Assessment (CIA) to identify 	Preparation of ESIA to be coterminous with detailed design stage of each city. Final ESIA report for each city to be disclosed at least 30 days before issuance of DBOT bids for each city.	PMIDC and MCs
	potential cumulative impacts on downstream users and ecosystems, associated with water abstractions from Upper Bari Doab and Sirhind Canals by the proposed project. Prepare a management plan to mitigate adverse impacts identified in CIA including making recommended changes in WTP designs.	Preparation of CIA to be coterminous with detailed design stage of sub project. Final CIA report for each city to be disclosed at least 30 days before issuance of bids for	PMIDC and MCs
	 Identify "Associated Facilities" as per ESF by screening of water supply projects. It will ensure that all the Associated Facilities meet the requirements of the ESSs, to the extent that PMIDC has control or influence over such Associated Facilities. In cases where the requirements of the ESSs cannot be followed, the Bank will require PMIDC to demonstrate the extent to which it cannot exercise control or influence over the Associated Facilities by providing details of the relevant considerations, which may include legal, regulatory and institutional factors. 	each city. Throughout the project period	PMIDC and MCs
	The Bank team will review each case and the final decision will be taken based on environmental and social due diligence of the Associated Facilities.		

nmental and Social Commitment Plan	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
RIAL MEASURES AND ACTIONS		
 Management Tools and Instruments Prepare, disclose, update and implement the Environmental and Social Management Plan (ESMP) for each city. 	During detailed design phase of each city level infrastructures and implemented during construction	PMIDC-PMU MCA and LMC PIUs ESIA consultants
ENVIRONMENTAL STATUTORY CLEARANCE AND APPROVALS Obtain environmental clearances, licenses/approvals and permits under existing legal framework that are applicable to the Project from relevant national and/or local authorities.	Prior to commencement of civil works	PMIDC, MCA/LMC PMC
MANAGEMENT OF CONTRACTORS Incorporate the relevant aspects of the ESCP, including the relevant E&S documents and/or plans, and the Labor Management Procedures, into the ESHS specifications of the procurement documents with contractors. Thereafter ensure that the contractors comply with the ESHS specifications of their respective contracts	Prior to issuance of EOI notice for contractors	Contractors PMIDC, PMC
Bidding documents and contracts to include relevant measures of the ESMP	During Bid document preparation	PMIDC
Preparation of Contractors-ESMP that will includeWaste Management Plan, OHS Plan, SEA/SH Action Plan, Community Health and Safety Plan, Labor Management Plan, Traffic Management Plan, Waste Management Plan, Workers' Camp Management Plan, etc. that will be acceptable to PMIDC and the World Bank	Prior to site mobilization	Contractors PMC
	 MAL MEASURES AND ACTIONS Management Tools and Instruments Prepare, disclose, update and implement the Environmental and Social Management Plan (ESMP) for each city. ENVIRONMENTAL STATUTORY CLEARANCE AND APPROVALS Obtain environmental clearances, licenses/approvals and permits under existing legal framework that are applicable to the Project from relevant national and/or local authorities. MANAGEMENT OF CONTRACTORS Incorporate the relevant aspects of the ESCP, including the relevant E&S documents and/or plans, and the Labor Management Procedures, into the ESHS specifications of the procurement documents with contractors. Thereafter ensure that the contractors comply with the ESHS specifications of their respective contracts Bidding documents and contracts to include relevant measures of the ESMP Preparation of Contractors-ESMP that will includeWaste Management Plan, OHS Plan, SEA/SH Action Plan, Community Health and Safety Plan, Labor Management Plan, Traffic Management Plan, Waste Management Plan, Workers' Camp Management Plan, etc. that will be acceptable to 	IAL MEASURES AND ACTIONS Management Tools and Instruments Prepare, disclose, update and implement the Environmental and Social Management Plan (ESMP) for each city. During detailed design phase of each city level infrastructures and implemented during construction ENVIRONMENTAL STATUTORY CLEARANCE AND APPROVALS Obtain environmental clearances, licenses/approvals and permits under existing legal framework that are applicable to the Project from relevant national and/or local authorities. MANAGEMENT OF CONTRACTORS Incorporate the relevant aspects of the ESCP, including the relevant E&S documents and/or plans, and the Labor Management Procedures, into the ESHS specifications of their respective contracts Bidding documents and contracts to include relevant measures ofthe ESMP Preparation of Contractors-ESMP that will includeWaste Management Plan, VASte Management Plan, Workers' Camp Management Plan, etc. that will be acceptable to Prior to site mobilization

nmental and Social Commitment Plan	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
RIAL MEASURES AND ACTIONS		
LABOR MANAGEMENT PROCEDURES Prepare, update andadopt the Labor Management Procedures (LMP)include LMP in bid document for all contracted agencies to implement.	Prior to project effectiveness and maintained throughout Project implementation.	PMIDC Consultants (PMU, PIUs and PMC) Contractors
GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish, maintain, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2 and in accordance with prevailing national and state labor laws and specific legislations on construction workers.	Within 60 days from Project effectiveness Prior to site mobilization of workforce for civil works and maintained throughout Project implementation.	PMIDC, MCA and LMC Consultants Contractors
OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES Prepare, adopt, and implement occupational, health and safety (OHS) measures specified in the LMP.	OHS plan will be prepared by contractor prior to site mobilization and implemented during civil works	PMIDC, MCA, LMC Consultants Contractors
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MATE 3.1	HAZARDOUS AND NON-HAZARDOUS WASTE MANAGEMENT PLAN: Contractor ESMP to include hazardous and non-hazardous waste	Prior to contractors' mobilization and	
3.1	Contractor ESMP to include hazardous and non-hazardous waste	Prior to contractors' mobilization and	
	 management guidelines to handle material at site. CONSTRUCTION WASTE, DEBRIS AND OTHER WASTE DISPOSAL: DISPOSAL SITE MANAGEMENT AND RESTORATION PLAN: Contractor ESMP to include construction waste and other waste Disposal Site Management and Restoration Plan including allocated budget to implement the plan. EFFICIENT USE WATER AND OTHER RESOURCES Contractor ESMP to include project's 'specific water use and water conservation plan and other resource source and use and conservation plan. Contractor will ensure that resource required for civil works is not sourced from unauthorized sources. CONSTRUCTION MATERIAL SOURCING PLAN: Prepare, adopt, and implement Construction Material Sourcing Plan	monitored throughout the project	Contractors PMC PIU
	COMMUNITY HEALTH AND SAFETY		
4.1	TRAFFIC AND ROAD SAFETY: Prepare, adopt and implement a Traffic Management Plan	Prior to contractor's mobilization and monitored throughout the project	Contractors

4.2	COMMUNITY HEALTH AND SAFETY: Prepare, adopt, and implement measures and actions to assess and manage specific risks and impacts to the community arising from Project activities (including risks related to the behavior of the project workers, labor influx, increased traffic, increased social interactions between project workers and communities, increased construction activities, etc. incorporate these measures in the Community Health and Safety Plan as part of ContractorsESMP mentioned in item 1.4 above.	Prior to contractor's mobilization and monitored throughout the project	PMIDC-PMUMCA/LMC PIUs Contractors
4.3	GBV AND SEA RISKS Prepare and adopt a Gender-Based Violence Action Plan (GBV Action Plan)	Within 30 days after Project effectiveness	PMIDC
4.4	GBV AND SEA RISKS DURING PROJECT IMPLEMENTATION: Implement measures as per GBV action plan	During project implementation	MCA and LMC PIUs with PMU oversight
ESS 5:	LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RES	ETTLEMENT	·
5.1	RESETTLEMENT PLANS: Prepare and disclose Resettlement Policy Framework (RPF)	Prior the Project appraisal	PMIDC and respective MCs
	Prepare, disclose and implementResettlement Action Plan (RAP) including a Livelihood Restoration Plan (LRP) for each city. Assessment and payment of compensation to the affected parties by DBOT contractor and reimbursed by MCA/LMC. Compensation to be verified by RAP implementation consultant and hand over the stretch for Transmission line is certified by PMC	Prior to taking of any land for respective city level infrastructure Prior to commencement of civil work at each identified section of the Transmission line	RAP implementation Consultant, PMC, PIU, Contractor
ESS 6:	BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVI	NG NATURAL RESOURCES	
6.1	BIODIVERSITY RISKS AND IMPACTS: Not relevant for the project	NA	

7.1	INDIGENOUS PEOPLES PLAN: Not relevant for the project	NA			
ESS 8: 0					
8.1	 CHANCE FINDS: Prepare and implement Cultural Heritage Management Plan 	Within 30 days of the project effectiveness	PMIDC		
	• Prepare and implement chance finds procedure described in the ESMPs and include as a requirement in ESIA/ESMP and also in the Bid documents for civil works	30 days prior to issue of Bids Monitored throughout the project	PMIDC, MCA and LMC Contractors		
ESS 10:	STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE				
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION Prepare, update, adopt, and implement a Stakeholder Engagement Plan (SEP)	Prior to project appraisal and maintained throughout project implementation.	PMIDC-PMU, MCA and MCA PIUs		
10.2	PROJECT GRIEVANCE MECHANISM: Prepare, adopt, maintain and operate a grievance mechanism, as described in the SEP	Within 30 days from Project effectiveness and maintained throughout project implementation	PMIDC-PMU, MCA/LMC-PIUs Contractors		
CAPAC	CAPACITY SUPPORT (TRAINING)				

CS1	Hire environmental and social experts for the PMU and PIUs in Amritsar and Ludhiana.	Before project effectiveness	PMIDC, MCA, and LMC
	Based on E&S Capacity Assessment following types of training will be provided to the relevant target groups, such as PMU staff, PIU	During Project implementation	PMIDC, MCA and LMC
	staff, stakeholder community, project workers, consultants, contractors, sub-contractors		Consultants
	 Orientation training to staff of PMIDC, MCA and LMC on the World Bank ESF and its implementation modality (screening, scoping etc) Training to PMIDC, MCA, LMC staff on Issues of Land acquisition and involuntary resettlement, Issues of Indigenous people, vulnerable and disadvantaged people and about Free Prior Inform Consent (FPIC), ESIA and RAP Occupational health and safety, community health and safety, Labor practices, Cultural heritage management Traffic and road safety, 		Contractors
	 , Consultant Procurement and Contract Management, Management of Construction Impacts Additional training will be identified during project implementation 		
CS2	Provide training for Project workers on occupational health and safety including on disaster prevention and emergency preparedness and response	Throughout the project period	PMC, Contractors